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BOX PATENT APPLICATION  
Washington, D.C. 20231

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DOCKET NO. YOR920010749US1  
Date: November 6, 2001

Sir,

11/07/01  
Transmitted herewith for filing is the Patent Application of:

Inventors: Kathryn A. Bohrer, Catherine A. Chess, Robert L. Hoch,  
John Karat, Dogan Kesdogan, Xuan Liu, Edith G. Schonberg,  
Moninder Singh

For: **SYSTEM, METHOD, AND BUSINESS METHODS FOR ENFORCING PRIVACY  
PREFERENCES ON PERSONAL-DATA EXCHANGES ACROSS A NETWORK**

Enclosed are:

- ☒ 10 Sheets of Informal Drawings.
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☒ Executed Declaration and Power of Attorney is attached to the application.
- ☐ Associate Power of Attorney.
- ☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

		(Col. 1)	(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA		RATE	FEE
BASIC FEE	<del>47 - 20 =</del>			<del>18</del>	\$ 740.00
TOTAL CLAIMS	47 - 20 =	27		X \$ 18 =	\$ 486.00
INDEP CLAIMS	6 - 3 =	3		X \$ 84 =	\$ 252.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED				+ \$ 270 =	\$ 0.00
				TOTAL	\$ 1,478.00

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- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By Louis J. Percello  
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U.S. PTO  
10/046034  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Kathryn A. Bohrer, et al.

Serial No.:

Filed: November 7, 2001

Group Art:

For: **SYSTEM, METHOD, AND BUSINESS METHODS FOR ENFORCING  
PRIVACY PREFERENCES ON PERSONAL-DATA EXCHANGES ACROSS A  
NETWORK**

Assistant Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Postcard
2. Patent Application Transmittal Letter (original and one copy)
3. Ten (10) sheets of drawings
4. Declaration and Power of Attorney
5. Information Disclosure Statement
6. PTO-1449 with references
7. Assignment to IBM with Recordation Form
8. Amendment

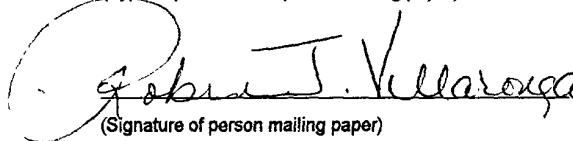
is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on November 7, 2001.

ROBIN J. VILLARONGA

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Docket No.: YOR920010749US1

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